

# Holism and underdetermination

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**Introduction to the history and philosophy of science**  
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# Confirmation holism

- Pierre [Duhem](#) [*La théorie physique: son objet, sa structure*, 1906]
- Willard Van Orman [Quine](#) ['Two Dogmas of Empiricism', 1953]

# Duhem and confirmation

- A experiment in physics is not simply the observation of a phenomenon; it is also the **theoretical interpretation** of that phenomenon.
- In order to obtain an interpretation, the physicist substitutes sensible qualities with symbolic and abstract representations which correspond to them by virtue of the theories accepted by the observer.

Examples of symbolic and abstract representations:

temperature, force, pressure,...

# Duhem:



Pierre Duhem (1906). *La Théorie physique: Son objet, sa structure.*

## Duhem (1906, 281)

*«La seule chose que nous apprenne l'expérience, c'est que, parmi toutes les propositions qui ont servi à prévoir ce phénomène et à constater qu'il ne se produisait pas, il y a au moins une erreur ; mais où gît cette erreur c'est ce qu'elle ne nous dit pas.»*

## Duhem (1906, 335)

*«Mais il n'est point possible de comparer une conséquence isolée de la théorie à une loi expérimentale isolée. Ce sont les deux systèmes pris dans leur intégrité, le système entier des représentations théoriques, d'une part, le système entier des données d'observation d'autre part, qui doivent être comparés l'un à l'autre et dont la ressemblance doit être appréciée.»*

# The situation according to Duhem

HOLISME DE CONFIRMATION

GRUPE THÉORIQUE:

**HYPOTHÈSE  $h_1$**

(+ INTERPRÉTATION)

+ ASSOMPTIONS AUXILIAIRES

+ CONDITIONS INITIALES

+ ...



DONNÉES EMPIRIQUES

## Thesis (Holism)

*An experiment in physics can never condemn an **isolated** physical hypothesis, but only **an entire theoretical group**.*

An immediate consequence: the **ambiguity of falsification**, by modus tollens:

$$(p_1) \quad h \& a_1 \& \dots \& a_n \rightarrow e$$

$$(p_2) \quad \neg e$$

$$(c) \quad \text{Thus, } \neg(h \& a_1 \& \dots \& a_n)$$

$$(c') \quad \text{Equivalently: } \neg h \vee \neg a_1 \vee \dots \vee \neg a_n$$

## Nota bene

Although Duhem talks about the **refutation** of hypotheses (by eliminative induction), his argument also concerns **confirmation**. A theoretical interpretation of the data is also necessary to confirm a hypothesis.

But a false interpretation can mask the falsity of a hypothesis. If the data can support a false hypothesis because of false theoretical interpretations, the experiment can only provide a reason to believe this hypothesis if we have a reason to believe that the theoretical interpretation is correct.

# Crucial experiments in physics

## Definition (Crucial experiment)

A *crucial experiment* is an experiment that conclusively falsifies one of the two competing hypotheses (or theories), and in so doing establishes its rival.

- candidats: Wiener's experiment on the orientation of the plane of oscillation of polarised light, Foucault's measurement of the speed of light in air and water

## Thesis (Duhem)

*None of these experiments is a crucial experiment as we have defined it.*

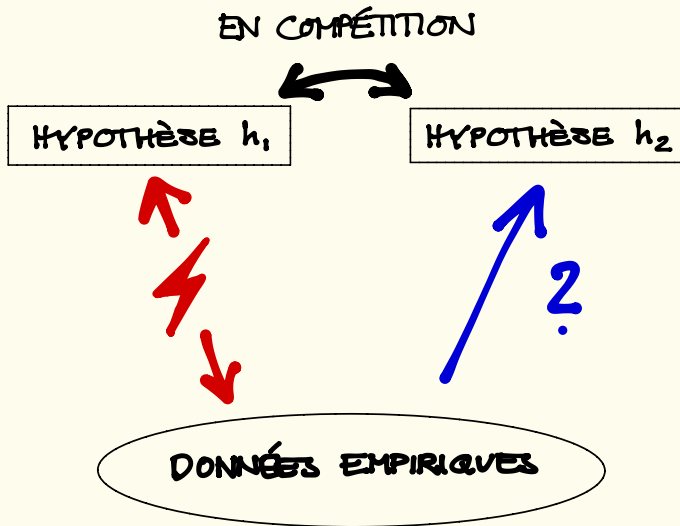
## Thesis (Crucial experiments in physics)

*There are no crucial experiments in physics.*



# The situation according to Duhem

EXPÉRIENCE CRUCIALE



- Duhem's holism implies that no experiment or observation can conclusively falsify a physical theory, and that, consequently, there can be no crucial experiment in physics.
- In short, there are no crucial experiments in physics for two reasons:
  - ① Because of the **ambiguity of falsification**, the incompatibility between  $h_1$  and the empirical data (in red on the previous slide) is in question.
  - ② Rival theories are not **logically exhaustive**: there are other logical possibilities and therefore, perhaps, other physical theories that are better.

## Rival theories are not exhaustive

- It is impossible to practice a variant of Mill's method of difference because the alternative to a theory  $t$  is not its logical opposite  $\neg t$ , but a rival, say  $t^*$ .
- The truth of  $t$  does not follow from the falsity of  $t^*$ , and thus:

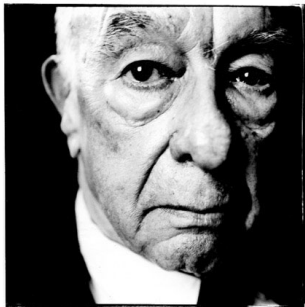
Thesis (Rival theories are not logically exhaustive)

*It is not the case that: if  $t$  is false, then  $t^*$  is true.*

Example

The wave and corpuscular theories of light do not exhaust the spectrum of possibilities.

# Quine and confirmation holism



## Thesis (Quine's confirmation holism)

*Isolated propositions cannot be confirmed or refuted by experience. What is confronted by experience is always a system of propositions (an entire theory) and, in the final analysis, the totality of our knowledge.*

[Summary by Michael Esfeld, Philosophie des sciences: une introduction, 2006]

# Quine's radical holism



W V Quine. Two dogmas of empiricism. *Philosophical Review* 60 (1951): 20-43.

## Quine (1951)

*[O]ur statements about the external world face the tribunal of sense experience not individually but only as a corporate body...*

*The totality of our so-called knowledge or beliefs, from the most casual matters of geography and history to the profoundest laws of atomic physics or even of pure mathematics and logic, is a man-made fabric which impinges on experience only along the edges. Or, to change the figure, total science is like a field of force whose boundary conditions are experience. A conflict with experience at the periphery occasions readjustments in the interior of the field. Truth values have to be redistributed over some of our statements. Re-evaluation of some statements entails re-evaluation of others, because of their logical interconnections—the logical laws being in turn simply certain further statements of the system, certain further elements of the field.*

# Quine's radical holism

## Quine (1951)

*Having re-evaluated one statement we must re-evaluate some others, whether they be statements logically connected with the first or whether they be the statements of logical connections themselves. But the total field is so underdetermined by its boundary conditions, experience, that there is much latitude of choice as to what statements to re-evaluate in the light of any single contrary experience. No particular experiences are linked with any particular statements in the interior of the field, except indirectly through considerations of equilibrium affecting the field as a whole.*

# Quine's radical holism

## Quine (1951)

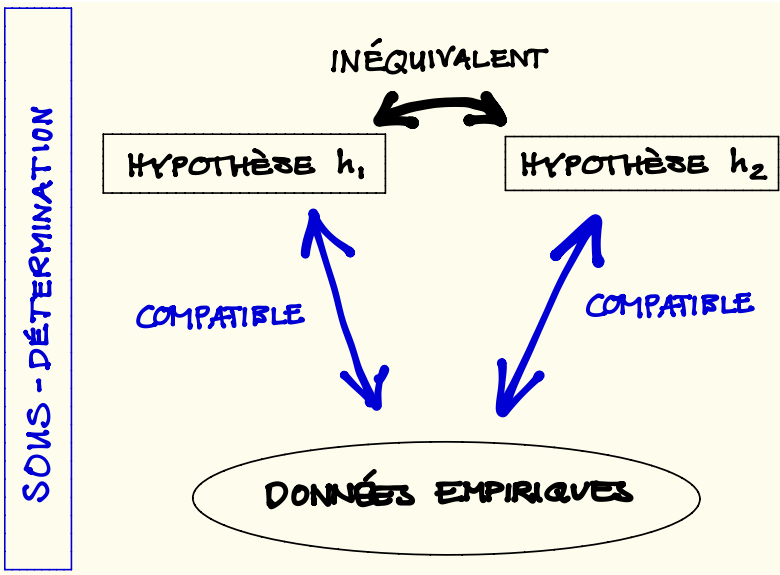
*If this view is right, it is misleading to speak of the empirical content of an individual statement—especially if it be a statement at all remote from the experiential periphery of the field. Furthermore it becomes folly to seek a boundary between synthetic statements, which hold contingently on experience, and analytic statements which hold come what may. Any statement can be held true come what may, if we make drastic enough adjustments elsewhere in the system. Even a statement very close to the periphery can be held true in the face of recalcitrant experience by pleading hallucination or by amending certain statements of the kind called logical laws. Conversely, by the same token, no statement is immune to revision. Revision even of the logical law of the excluded middle has been proposed as a means of simplifying quantum mechanics; and what difference is there in principle between such a shift and the shift whereby Kepler superseded Ptolemy, or Einstein Newton, or Darwin Aristotle? (39f)*

# Underdetermination as a consequence of holism

- If it is an entire theoretical group, or even the totality of our knowledge, that is submitted to the tribunal of empirical confirmation, then in general, scientific hypotheses or theories are **underdetermined** by the empirical data.
- Let's study this idea...



# Underdetermination: the situation



# Underdetermination

## Thesis (Underdetermination)

*Empirical data are often compatible with more than one hypothesis.*

Let us distinguish two forms of underdetermination:

- 1 Logical underdetermination (LUD)
- 2 Methodological underdetermination (MUD)
  - MUD: **weak** form and **strong** form

## Example

The ideal gas law of Boyle-Mariotte (cf. unit 4, pp. 21-22) is underdetermined by empirical data.

## (a) Logical underdetermination (LUD)

### Definition (LUD)

*The underdetermination of a theory by empirical data is a **logical underdetermination** if it is logical reasoning that is at its origin.*

( $p$ ) I have waken up every morning until now.

( $c_1$ ) Thus, I will always wake up

( $c_2$ ) Thus, I will wake up every morning until ...

- Both conclusions are compatible with the premise, but this is trivial; this fact **arises from the inductive nature** of this inference.
- In inductive inferences, there are always several conclusions compatible with the premises. Only in deductive inferences is the conclusion sometimes determined solely by the premises.

Logical underdetermination can consist in the logical **compatibility** of data with more than one hypothesis (absence of contradictions) or in the **logical implication** of the same data by different hypotheses:

$$h_1 \rightarrow e$$

$$h_2 \rightarrow e$$

$$h_3 \rightarrow e$$

## (b) Methodological underdetermination (MUD)

### Definition (MUD)

*Theories (hypotheses) are underdetermined by empirical data augmented by rules of ampliative inference.*

### Example

Boyle's law is no longer underdetermined by the empirical data if we use standard regression methods (multilinear or polynomial) and adjust the curve.

# LUD vs. MUD

## Example: smoking and cancer

- LUD: The empirical data are **logically** compatible with these two hypotheses:
    - (1) Smoking causes lung cancer.
    - (2) There are genes that cause not only lung cancer but also addictive behaviour.
  - Nevertheless, data augmented by causal inference methods (statistics) confirm hypothesis (1).
- ⇒ No MUD!

[Note the use of the word 'confirmation' in the methodology: 'e confirms h' = there is a 'good' inductive inference from e to h; no definitive proof is needed.]

## Weak MUD

- In the **weak form** of the methodological underdetermination thesis: if the data are not sufficient, other data will arrive later which will break the underdetermination by favouring one of the hypothesis over the others.
- The existence of weak MUD is not disputed.

## Examples: weak MUD

- Ptolemaic astronomy and Copernican astronomy between 1540 and 1620
- wave and corpuscular theories of light before 1900
- Atomism and anti-atomism between 1800 and 1905

In all these cases, at least for a time, the observational data did not allow a choice between one or the other, even if the most reliable methods of ampliative inference were employed.

But **new data** made it possible to choose a theory more recently, so the MUD had a **temporary, transient** character.



# Strong MUD

## Strong form of MUD

The MUD is of a strong form if a set of hypotheses can **never** be decided on the basis of data, because **for any set** of data that confirms a hypothesis  $h_1$  there exists a hypothesis  $h_2$  (and perhaps  $h_3, h_4...$ ) that is also confirmed by the same data.

- This form of MUD is **hotly debated** in philosophy of science.
- Why?
- Because strong MUD prevents science from discovering a single reality, and is therefore in conflict with scientific realism!